

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Mary FARIS et al.

Examiner: A. Harris

Group Art Unit: 1643

Serial No.: 09/809,638

Filing Date: 14 March 2001

For: 125P5C8: A TISSUE SPECIFIC PROTEIN HIGHLY EXPRESSED IN VARIOUS

**CANCERS** 

#### PETITION UNDER 37 CFR §1.183 AND DECLARATION OF SHANE M. POPP

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

- I, Shane M. Popp, declare as follows:
- 1. I am the Manager, Legal for Agensys, Inc., the assignee of the above-referenced patent application. In my duties, I am responsible for the prosecution of said application.
- 2. Pursuant to 37 C.F.R. 1.183, assignee and real-party-in-interest, Agensys, Inc. and co-inventors Aya Jakobovits, Daniel E.H. Afar, Pia M. Challita-Eid, and Arthur B. Raitano respectfully state that co-inventor Steve Chappell Mitchell is unavailable to join the application for patent, and requests that this application be accepted for examination and that the attached declaration be accepted without the signature of co-inventor Steven Chappell Mitchell.
- 3. To date, after diligent effort, the signature of the co-inventor Steven Chappell Mitchell has yet to be obtained.

03/17/2006 JBALINAN 00000106 09809638 01 FC:1462 400.00 DA 4. Steven Chappell Mitchell's last known address is:

601 Linden Place Loft #311 Evanston, IL 60201

- 5. On 27 January 2006, I personally mailed, via DHL / Worldwide Express, to Steven Chappell Mitchell, at his last known address, a package containing a letter that requested his signature on a Declaration under 37 C.F.R. 1.131. The package also contained three exhibits which confirmed the need to file a 1.131 Declaration and a return DHL envelope for his convenience in returning the executed Declaration. *See* Exhibit A.
- 5. Attached hereto as <u>Exhibit B</u> is a copy of the delivery confirmation sent by DHL / Worldwide Express indicating that the package was delivered 30 January 2006 at 10:32 a.m.
- 6. On 03 March 2006, I personally mailed, via DHL / Worldwide Express, to Steven Chappell Mitchell, at his last known address, a second package containing a letter that requested his signature on a Declaration under 37 C.F.R. 1.131. The package also contained three exhibits which confirmed the need to file a 1.131 Declaration and a return DHL envelope for his convenience in returning the executed Declaration. *See* Exhibit C.
- 7. Attached hereto as <u>Exhibit D</u> is a copy of the delivery confirmation sent by DHL / Worldwide Express indicating that the package was delivered 06 March 2006 at 11:35 a.m.
  - 8. To date, we currently have not received an executed copy of the 1.131 Declaration.
- 9. I believe a diligent *bona fide* attempt has been made to obtain the signature of Steven Chappell Mitchell. In addition, I believe the inaction on behalf of Steven Chappell Mitchell clearly shows that Steven Chappell Mitchell cannot be reached and is unavailable.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Executed at Gency Trc. located at 1545 17th Street, Santa Monica, California 90404 on this Street, Santa Monica, California 90404 on

By:

Shane M. Popp J.D., LL.M.

Manager, Legal Agensys, Inc.





#### 03 March 2006

#### Sent via Worldwide Express / DHL

Mr. Steven Chappell Mitchell 601 Linden Place #311 loft Evanston, IL 60201

RE:

U.S. Patent Application:

Title: 125P5C8: A TISSUE SPECIFIC PROTEIN HIGHLY EXPRESSED IN

**VARIOUS CANCERS** 

Serial No.: 09/809,638 Our Ref.: 51158-20035.00

#### Dear Steve:

Further to our previous letter dated 27-January-2006, please find enclosed a Declaration under 37 C.F.R. §1.131 for the above-referenced patent application for which you are listed as an inventor. The need for a §1.131 Declaration came about when; during prosecution of the above-referenced case, the Patent Examiner cited WO/200270539 as allegedly anticipating our claims.

#### 37 C.F.R. 1.131(a) states in pertinent part:

"When any claim of an application is rejected, the inventor of the subject matter of the rejected claim may submit an appropriate oath or declaration to establish invention of the subject matter of the rejected claim prior to the effective date of the reference or activity on which the rejection is based."

Accordingly, please find enclosed a copy of the 1.31 Declaration for your execution on the above-referenced matter, a copy of a document demonstrating reduction to practice prior to the WO/200270539 document which has an effective date of 05 March 2001.

Please review the enclosed documents and sign and date the enclosed §1.131 Declaration and return it to us as soon as possible. I have enclosed a return DHL envelope for your convenience.

If you have any guestions feel free to contact me at 310.820.8029 ext. 205.

With regards,

Shane M. Popp J.D., LL.M.

Manager, Legal

**Enclosures** 

Docket No.: 511582003500

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Mary FARIS, et al.

Application No.: 09/809,638

Filed: March 14, 2001

For: 125P5C8: A TISSUE SPECIFIC PROTEIN

HIGHLY EXPRESSED IN VARIOUS

**CANCERS** 

**Art Unit: 1643** 

Examiner: A. Harris

# <u>UNDER 37 C.F.R. § 1.131</u>

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

We, the undersigned, declare as follows:

- 1. We are co-inventors of claims 1, 14 and 23, currently pending in the above-referenced application. The claims relate to an isolated 125P5C8 protein comprising the sequence of SEQ ID NO: 2 or a polynucleotide sequence encoding the codons for SEQ ID NO: 2, which is exemplified by the nucleotide sequence of SEQ ID NO:1.
- 2. The Office rejected claims 1, 14 and 23 as allegedly being anticipated by WO 200270539 A2, which was filed March 5, 2002. This PCT application claims priority to U.S. Application No. 09/799,451, which was filed March 5, 2001, and is now U.S. Patent No. 6,783,989.

Application No.: 09/809,638 Docket No.: 511582003500

A copy of WO 200270539 was submitted in an Information Disclosure Statement provided in this application mailed on April 5, 2001. This document discloses sequence 1397 which is identical to SEO ID NO: 2 of the present application.

- 3. We reduced the claimed invention to practice in the United States prior to the date U.S. Patent No. 6,783,989 was filed (March 5, 2001).
- 4. This reduction to practice is evidenced by a true and accurate copy of an email message sent to various members of the scientific staff by inventor Steve C. Mitchell on January 3, 2001. The email provides the nucleic acid and amino acid sequences of the material encompassed by the claims in the above-referenced patent application. A copy of this email is provided as Exhibit A.
- 5. The nucleotide sequence disclosed in Exhibit A consists of 2,103 nucleotides and 699 amino acids.
- 6. Exhibit B shows a comparison of the nucleotide sequence of SEQ ID NO:1 and the nucleotide sequence disclosed in Exhibit A. Every single nucleotide disclosed in SEQ ID NO:1 is present in the nucleotide sequence disclosed in Exhibit A. Accordingly, the email of Exhibit A clearly demonstrates the that nucleotide sequence of SEQ ID NO:1 was in our possession prior to the earliest priority date to which WO 200270539 (the cited art) is entitled to claim.
- 7. Exhibit C shows a comparison of the amino acid sequence of SEQ ID NO:2 and the amino acid sequence disclosed in Exhibit A. Every single amino acid residue disclosed in SEQ ID NO: 2 is present in the amino acid sequence disclosed in Exhibit A. Accordingly, the email of Exhibit A clearly demonstrates the that amino acid sequence of SEQ ID NO:2 was in our possession prior to the earliest priority date to which WO 200270539 (the cited art) is entitled to claim.

Application No.: 09/809,638 Docket No.: 511582003500

8. In view of the email provided as Exhibit A and the analysis of the sequences disclosed therein and shown in Exhibits B and C, we declare that the invention of the pending claims was reduced to practice in the United States prior to March 5, 2001, the earliest priority date available to the cited document.

We declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date	Executed at		
	(City/State)	Aya JAKOBOVITS	
	(City/State)	Daniel E.H. AFAR	
	(City/State)	Steve Chappell MITCHELL	
	(City/State)	Pia M. CHALLITA-EID	<u> </u>
	(City/State)	Arthur B. RAITANO	
· · · · · · · · · · · · · · · · · · ·	(City/State)	Mary FARIS	







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### Track results detail

#### Tracking results detail for 29686554752

#### Tracking summary

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4:09 pm

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Location

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Attention:

Shipment Information: Ship date: 1/27/2006

Santa Monica, CA 90404

STEVE CHAPPELL MITCHELL Evanston, IL 60202

Pieces: 1 Total weight: 1 lb

**United States** 

**United States** 

Ship Type: Letter Expre Shipment Reference: 60

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Conta

#### Popp, Shane

From:

Mitchell, Steve

Sent:

Wednesday, January 03, 2001 1:43 PM

To:

**Scientists** 

Subject:

125P5C8 reagents.

#### Colleagues,

The Company reagent for 125P5C8 (124P1B7/139P3A1) is pasted. It is a PCR based pCR2.1/TA clone(prostate) with three point differences from the Japanese (colon) reported hypothetical sequence. Two point are conserved for translation whereas the third point difference gives an amino acid change near the 3 prime end. This is verified with genomic sequencing.

>125P5C8(124P1B7/139P3A1) pCR2.1 subclone CGATGACCTCGCTGTGGAGAGAAATCCTCTTGGAGTCGCTGCTGGGATGTTTCTTGGTCTCTCTACCATGACCTGGGACCGATG CCTTTCTGGAAATTGGTTAACAAGAAGTGGATGCTAACCCTGCTGAGGATAATCACTATTGGCAGCATAGCCTCCTTCCAGGCTCCA AATGCCAAACTTCGACTGATGGTTCTTGCGCTTGGGGTGTCTTCCTCACTGATAGTGCAAGCTGTGACTTGGTGGTCGGGAAGTCAT TTGCAAAGGTACCTCAGAATTTGGGGGATTCATTTTAGGACAGATTGTTCTTGTTGTTCTACGCATATGGTATACTTCACTAAACCCAAT CTGGAGTTATCAGATGTCCAACAAAGTGATACTGACATTAAGTGCCATAGCCACACTTGATCGTATTGGCACAGATGGTGACTGCAG TAAACCTGAAGAAAAGAAGACTGGTGAGGTAGCCACGGGGATGGCCTCTAGACCCAACTGGCTGCTGGCAGGGGCTGCTTTTGGT TOGCCATCTTTACTGCATCCATGTGGCCCCAAACACTTGGACACCTTATTAACTCAGGGACAAACCCTGGGAAAACCATGACCATTG CCATGATATTTTATCTTCTAGAAATATTTTTCTGTGCCTGGTGCACAGCTTTTAAGTTTGTCCCAGGAGGTGTCTACGCTAGAGAAAG ATCAGATGTGCTTTTGGGGACAATGATGTTAATTATCGGGCTGAATATGCTATTTGGTCCTAAGAAAAACCTTGACTTGCTTCTTCAA ACAAAAACAGTTCTAAAGTGCTTTTCAGAAAGAGTGAAAAATACATGAAACTTTTTCTGTGGCTGCTTGTTGGTGTGGGATTGTTGG AGGTTTGGATATGACAATGAAGGGTGGTCTAGTCTAGAAAGATCAGCTCACCTGCTCAATGAAACAGGTGCAGATTTCATAACAATT TTGGAGAGTGATGCTTCTAAGCCCTATATGGGGAACAATGACTTAACCATGTGGCTAGGGGAAAAGTTGGGTTTCTATACAGACTTT GGTCCAAGCACAAGGTATCACACTTGGGGGATTATGGCTTTGTCAAGATACCCAATTGTGAAATCTGAGCATCACCTTCTTCCGTCA CCAGAGGGCGAGATCGCACCAGCCATCACATTGACCGTTAACATTTCGGCCAAGCTGGTGGATTTTGTCGTGACACACTTTGGGAA CCACGAAGATGACCTCGACAGGAAACTGCAGGCTATTGCTGTTTCAAAACTACTGAAAAGTAGCTCTAATCAAGTGATATTTCTGGGATATATCACTTCAGCACCTCGACAGGATATTCTACAGCTCACTGAACATGCAAAAGTAGCTCAAGGATATCAAGTGATATTTCTGGGATATTATCACTTCAGCACACTGATCATGAACATGGCAATGTCAAGGATATCGACAGCACTGATCATGA CAGATGGTGTGAATACATTATGTATCGAGGGCTGATCAGGTTGGGTTATGCAAGAATCTCCCATGCTGAACTGAGTGATTCAGAAAT TCAGATGGCAAAATTTAGGATCCCTGATGACCCCACTAATTATAGAGACAACCAGAAAGTGGTCATAGACCACAGAGAAGTTTCTGA GAAAATTCATTTTAATCCCAGATTTGGATCCTACAAAGAAGGACACCATTATGAAAACCACCATCATTTTCATATGAATACTCCCAAAT **ACTITITATGAAAC** 

>125P5C8(124P1B7/139P3A1) pCR2.1 subclone
MTSLWREILLESLLGCVSWSLYHDLGPMIYYFPLQTLELTGLEGFSIAFL6PIFLTTTPFWKLVNKKWMLTLLRITTIGSIASFQAPNAKLRLM VLALGVSSSLIVOAVTWWSGSHLORYLRIWGFILGOIVLVVLRIWYTSLNPIWSYOMSNKVILTLEAIATLDRIGTDGDCSKPEEKKTGEVA TGMASRPNWLLAGAAFGSLVFLTHWVFGEVSLVSRWAVSGHPHPGPDPNPFGGAVLLCLASGLMLPSCLWFRGTGLIWWVTGTASAA GLLYLHTWAAAVSGCVFAIFTASMWPQTLGHLINSGTNPGKTMTIAMIFYLLEIFFCAWCTAFKFVPGGVYARERSDVLLGTMMLIIGLNML FGPKKNLDLLLQTKNSSKVLFRKSEKYMKLFLWLLVGVGLLGLGLRHKAYERKLGKVAPTKEVSAAIWPFRFGYDNEGWSSLERSAHLL NETGADFITILESDASKPYMGNINDLTMWLGEKLGFYTDFGPSTRYHTWGIMALSRYPIVKSEHHLLPSPEGEIAPAITLTVNISGKLVDFVV THE GNHEDDLDRKLOAIAVSKLLKSSSNOVIFLGY)TSAPGSRDYLOLTEHGNYKDIDSTDHDRWCEYIMYRGLIRLGYARISHAELSDSEI QMAKFRIPDDPTNYRDNQKVVIDHREVSEKIHFNPRFGSYKEGHNYENNHHFHMNTPKYFL

Sieve Chappell Mitchell Associate Ecientist **UroGenesys** 1701 Colorado Avenue Santa Monica, CA 90404 Tel (310)820-8029 x281 Fax (310)820-8489 Email smitchell@urogenesys.com Ud www.urogenesys.com

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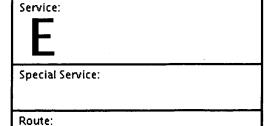
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Steve Chappell Mitchell 601 Linden Place, #311 Evanston, IL 60202 UNITED STATES

Attention To: Steve Chappell Mitchell

Phone #:

(310) 820-8029



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COD Amount: \$0.00

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SENDER'S RECEIPT

Steve Chappell Mitchell

601 Linden Place, #311

Evanston, IL 60202

To(Company):

**United States** 

Phone#:

Sent By:

Phone#:

15170591752

Attention To: Steve Chappell Mitchell

(310) 820-8029

Shane Popp

310-820-8029

Airbill#:

Route

Time



For Tracking, please go to www.dhl-usa.com or cail 1-800-CALL-DHL Thank you for shipping with DHL Worldwide Express

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Office the Paperwork Neudadon Ad of 1999, no pers	oris are required to re	Application Number	09/809,638	
TRANSMITT	AL	Filing Date	March 14, 2001	
FORM		First Named Inventor	Mary FARIS	
(to be used for all correspondence after	initial filing)	Art Unit	1643	
(102000010)	<b>g</b> /	Examiner Name	A. Harris	
Total Number of Pages in This Submiss	ion 96	Attorney Docket Numb	511582003500	
EN	CLOSURES	(Check all that app	oly)	
Fee Transmittal Form –  x Form PTO/SB/17p (1 page + duplicate for fee processing)	Drawing(s)		After Allowance Communication to TC	
Fee Attached	Licensing-re	elated Papers	Appeal Communication to Board of Appeals and Interferences	
x Amendment/Reply (6 pages)	FARIS (52	.183 Petition for inventor pages) and 1.183 inventor MITCHELL	Appeal Communication to TC (Appeal Notice, Brief, Repty Brief)	
After Final	Petition to 0		Proprietary Information	
Affidavits/declaration(s) – with Exhibits A through C (13 pages)		orney, Revocation correspondence Address	Status Letter	
Extension of Time Request	Terminal Di	sclaimer	X Other Enclosure(s) (please Identify below):	
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Firm Name MORRISON & FQE	BSTER LLP			
Signature	14/6	4_		
Printed name James J. Mullen III,	Ph.D.			
Date March 15, 2006		Reg. No.	44,957	
I hereby certify that this correspondence is be in an envelope addressed to: MS Amendments shown below.  Dated: March 15, 2006				